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Date:

August 7, 2000

To:

Examiner Portner, Group Art Unit 1641

U.S. Patent & Trademark Office

From:

Emily M. Haliday

Subject:

U.S. Patent Application entitled:

COMPOSITIONS FOR

MICROENCAPSULATION OF ANTIGENS

FOR USE AS VACCINES

Serial No.: 08/846,933

Filed: April 30, 1997

Client/File: M-9169-3C US (Formerly 14918-707 CPA5)

Fax Telephone #: (703) 308-4242

Office Telephone #: (703) 308-7543

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Transmitted herewith (18 pages, including coversheet):

Transmittal letter (in duplicate)(1 page); Preliminary Amendment (13 pages); and Request to Amend Drawings (2 pages).

If you do not receive all pages, please call (408) 453-9200



Docket No.: M-9169-3C US

#### August 7, 2000

Box Non-Fee Amendment Commissioner For Patents Washington, D.C. 20231

Re:

Applicants: Assignee:

Jeffrey L. Cleland et al.

Genentech, Inc.

Title:

COMPOSITIONS FOR MICROENCAPSULATION OF ANTIGENS

FOR USE AS VACCINES

Serial No.: Examiner:

08/846,933 Portner

Filed: April 30, 1997

Docket No.:

Group Art Unit: 1641

M-9169-3C US

(Formerly 14918-707 CPA5)

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

(1) Fax Cover Sheet (1 page);

(2) This Transmittal Letter (in duplicate)(1 page); and

(3) Preliminary Amendment (13 pages); and

(4) Request to Amend Drawings (3 pages).

No additional fee is required.

The fee has been calculated as shown below:

### **CLAIMS AS AMENDED**

| Tota.   | l Claims   | Claims Remaining After Amendment 13   | Minus         | Highest No. Previously Paid For 20 | =              | Present<br>Extra<br>0 | x | <u>Rate</u><br>\$18 | \$ | Additional<br>Fee |
|---|--|---|---------------|------------------------------------|----------------|-----------------------|---|---------------------|----|-------------------|
| Indep<br>Clair  | oendent<br>ns  | 1   | Minus         | 3                                  | =              | 0                     | x | \$78                | \$ | 0.00              |
|   | Fee of depende   | \$  |               |                                    |                |                       |   |                     |    |                   |
| Fee for Request for Extension of Time  Total additional fee for this Amendment: |  |   |               |                                    |                |                       |   |                     | \$ |                   |
| $\boxtimes$   | Conditio   | onal Petition for Exter   | e for this An | nendment:                          |                |                       |   |                     | \$ | 0.00              |
|   | consider   | y   | _             |                                    |                |                       |   |                     |    |                   |
|   | Please charge our Deposit Account No. 19-2386 in the amount of   |   |               |                                    |                |                       |   |                     |    | 0.00              |
| $\boxtimes$   | Also, charge any additional fees required and credit any overpayment to our Deposit Account No. 19-2386. |   |               |                                    |                |                       |   |                     |    |                   |
|   | I hereb<br>facsim  | cate of Facsimile Tran by certify that this pape ile transmitted to the U nark Office on the date the contract of the C | er is being   | Emily J                            | И. Ha<br>y for | Applicant             | , | otal:               | \$ | 0.00              |

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Jeffrey L. Cleland et al.

Assignee:

Genentech, Inc.

Title:

COMPOSITIONS FOR MICROENCAPSULATION OF ANTIGENS

FOR USE AS VACCINES

Serial No.:

08/846,933

Filed:

April 30, 1997

Examiner:

Portner

Group Art Unit:

1641

Docket No.:

M-9169-3C US (Formerly 14918-707 CPA5)

San Jose, California August 7, 2000

COMMISSIONER FOR PATENTS Washington, D. C. 20231

## REQUEST TO AMEND DRAWINGS UNDER 37 C.F.R. § 1.121

Sir:

Pursuant to 37 C.F.R. §1.121, Applicant(s) respectfully request(s) permission to amend Fig. 3 as shown on the accompanying sheet. Formal drawings incorporating the requested amendments will be sent to the Official Draftsperson shortly.

Support for amendments is found in the specification at least at page 4, lines 12-39; page 7, line 20 - page 8, line 25; page 13, line 21 - page 14, line 14; and page 22, line 37 page 23, line 7. Therefore no new matter is added by these amendments to the drawings.

If there are any questions regarding this submission, please call the undersigned Attorney at (408) 487-1296 as soon as possible.

Certification of Facsimile Transmission

I hereby certify that this paper is being facsimile trasnmitted to the U.S. Patent and Trademark Office on the date shown below.

Signature

Date

Respectfully submitted,

Emily M. Haliday

Attorney for Applicant(s)

Reg. No. 38,903

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SER. NO. 08/846,933